

Appendix II  
**Reformed Teaching Observation Protocol (RTOP)**

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Technical Report No. IN00-1  
**Arizona Collaborative for Excellence in the Preparation of Teachers**  
Arizona State University

**I. BACKGROUND INFORMATION**

Name of teacher \_\_\_\_\_ Announced Observation? \_\_\_\_\_  
(yes, no, or explain)

Location of class \_\_\_\_\_  
(district, school, room)

Years of Teaching \_\_\_\_\_ Teaching Certification \_\_\_\_\_  
(K-8 or 7-12)

Subject observed \_\_\_\_\_ Grade level \_\_\_\_\_

Observer \_\_\_\_\_ Date of observation \_\_\_\_\_

Start time \_\_\_\_\_ End time \_\_\_\_\_

**II. CONTEXTUAL BACKGROUND AND ACTIVITIES**

In the space provided below please give a brief description of the lesson observed, the classroom setting in which the lesson took place (space, seating arrangements, etc.), and any relevant details about the students (number, gender, ethnicity) and teacher that you think are important. Use diagrams if they seem appropriate.

Record here events that may help in documenting the ratings.

Time	Description of Events

### III. LESSON DESIGN AND IMPLEMENTATION

		Never Occurred				Very Descriptive
1)	The instructional strategies and activities respected students' prior knowledge and the preconceptions inherent therein.	0	1	2	3	4
2)	The lesson was designed to engage students as members of a learning community.	0	1	2	3	4
3)	In this lesson, student exploration preceded formal presentation.	0	1	2	3	4
4)	This lesson encouraged students to seek and value alternative modes of investigation or of problem solving.	0	1	2	3	4
5)	The focus and direction of the lesson was often determined by ideas originating with students.	0	1	2	3	4

### IV. CONTENT

#### Propositional knowledge

6)	The lesson involved fundamental concepts of the subject.	0	1	2	3	4
7)	The lesson promoted strongly coherent conceptual understanding.	0	1	2	3	4
8)	The teacher had a solid grasp of the subject matter content inherent in the lesson.	0	1	2	3	4
9)	Elements of abstraction (i.e., symbolic representations, theory building) were encouraged when it was important to do so.	0	1	2	3	4
10)	Connections with other content disciplines and/or real world phenomena were explored and valued.	0	1	2	3	4

#### Procedural Knowledge

11)	Students used a variety of means (models, drawings, graphs, concrete materials, manipulatives, etc.) to represent phenomena.	0	1	2	3	4
12)	Students made predictions, estimations and/or hypotheses and devised means for testing them.	0	1	2	3	4
13)	Students were actively engaged in thought-provoking activity that often involved the critical assessment of procedures.	0	1	2	3	4
14)	Students were reflective about their learning.	0	1	2	3	4
15)	Intellectual rigor, constructive criticism, and the challenging of ideas were valued.	0	1	2	3	4

**Continue recording salient events here.**

Time	Description of Events

**V.****CLASSROOM CULTURE**

	Communicative Interactions	Never Occurred					Very Descriptive
16)	Students were involved in the communication of their ideas to others using a variety of means and media.	0	1	2	3	4	
17)	The teacher's questions triggered divergent modes of thinking.	0	1	2	3	4	
18)	There was a high proportion of student talk and a significant amount of it occurred between and among students.	0	1	2	3	4	
19)	Student questions and comments often determined the focus and direction of classroom discourse.	0	1	2	3	4	
20)	There was a climate of respect for what others had to say.	0	1	2	3	4	

**Student/Teacher Relationships**

21)	Active participation of students was encouraged and valued.	0	1	2	3	4	
22)	Students were encouraged to generate conjectures, alternative solution strategies, and ways of interpreting evidence.	0	1	2	3	4	
23)	In general the teacher was patient with students.	0	1	2	3	4	
24)	The teacher acted as a resource person, working to support and enhance student investigations.	0	1	2	3	4	
25)	The metaphor "teacher as listener" was very characteristic of this classroom.	0	1	2	3	4	

Additional comments you may wish to make about this lesson.

# Reformed Teaching Observation Protocol (RTOP) TRAINING GUIDE

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*and*

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## Arizona Collaborative for Excellence in the Preparation of Teachers Arizona State University

ACEPT Technical Report No. IN00-2

The Reformed Teaching Observation Protocol (RTOP) is an observational instrument that can be used to assess the degree to which mathematics or science instruction is “reformed.” It embodies the recommendations and standards for the teaching of mathematics and science that have been promulgated by professional societies of mathematicians, scientists and educators.

The RTOP was designed, piloted and validated by the Evaluation Facilitation Group of the Arizona Collaborative for Excellence in the Preparation of Teachers. Those most involved in that effort were Daiyo Sawada (External Evaluator), Michael Piburn (Internal Evaluator), Bryce Bartley and Russell Benford (Biology), Apple Bloom and Matt Isom (Mathematics), Kathleen Falconer (Physics), Eugene Judson (Beginning Teacher Evaluation), and Jeff Turley (Field Experiences).

The instrument draws on the following sources:

- National Council for the Teaching of Mathematics. *Curriculum and Evaluation Standards* (1989), *Professional Teaching Standards* (1991), and *Assessment Standards* (1995).
- National Academy of Science, National Research Council. *National Science Education Standards* (1995).
- American Association for the Advancement of Science, Project 2061. *Science for All Americans*(1990), *Benchmarks for Scientific Literacy*(1993).

It also reflects the ideas of all ACEPT Co-Principal Investigators, but especially those of Marilyn Carlson and Anton Lawson, and the principles of reform underlying the ACEPT project. Its structure reflects some elements of the *Local Systemic Change Revised Classroom Observation Protocol* , by Horizon Research (1997-98).

The RTOP is criterion-referenced, and observers’ judgments should *not* reflect a comparison with any other instructional setting than the one being evaluated. It can be used at all levels, from primary school through university. The instrument contains twenty-five items, with each rated on a scale from 0 (not observed) to 4 (very descriptive). Possible scores range from 0 to 100 points, with higher scores reflecting a greater degree of reform.

The RTOP was designed to be used by trained observers. This *Training Guide* provides specific information pertinent to the interpretation of individual items in the protocol. It is intended to be used as part of a formal training program in which trainees observe actual classrooms or videotapes of classrooms, and discuss their observations with others. The *Guide*, in its present form, is also designed to solicit trainee thoughts and concerns so that they feel comfortable in using the instrument. For that reason, a space is provided after each item for trainee comments. Such input helps all those being trained to achieve a higher degree of consistency in using the instrument. Please keep this in mind in making comments.

## I. BACKGROUND INFORMATION

This section contains space for standard information that should be recorded by all observers. It will serve to identify the classroom, the instructor, the lesson observed, the observer, and the duration of the observation.

**comments:**

## II. CONTEXTUAL BACKGROUND AND ACTIVITIES

Space is provided for a brief description of the lesson observed, the setting in which the lesson took place (space, seating arrangements, etc.), and any relevant details about the students (number, gender, ethnicity, etc.) and instructor. Try to go beyond a simple description. Capture, if you can, the defining characteristics of this situation that you believe provide the most important context for understanding what you will describe in greater detail in later sections. Use diagrams if they seem appropriate.

**comments:**

The next three sections contain the items to be rated. Do not feel that you have to complete them during the actual observation period. Space is provided on the facing page of every set of evaluations for you to make notes while observing. Immediately *after the lesson*, draw upon your notes and complete the ratings. For most items, a valid judgment can be rendered only after observing the entire lesson. The whole lesson provides contextual reference for rating each item.

Each of the items is to be rated on a scale ranging from 0 to 4. Choose “0” if in your judgment, the characteristic *never* occurred in the lesson, not even once. If it did occur, even if only once, “1” or higher should be chosen. Choose “4” only if the item was very descriptive of the lesson you observed. Intermediate ratings do not reflect the number of times an item occurred, but rather the degree to which that item was *characteristic* of the lesson observed.

The remainder of this Training Guide attempts provides a clarification of each RTOP item and the subtest (there are five) of which it is a part.

### III. LESSON DESIGN AND IMPLEMENTATION

**1) The instructional strategies and activities respected students' prior knowledge and the preconceptions inherent therein.**

A cornerstone of reformed teaching is taking into consideration the prior knowledge that students bring with them. The term “respected” is pivotal in this item. It suggests an attitude of curiosity on the teacher’s part, an active solicitation of student ideas, and an understanding that much of what a student brings to the mathematics or science classroom is strongly shaped and conditioned by their everyday experiences.

**comments:**

**2) The lesson was designed to engage students as members of a learning community.**

Much knowledge is socially constructed. The setting within which this occurs has been called a “learning community.” The use of the term community in the phrase “the scientific community” (a “self-governing” body) is similar to the way it is intended in this item. Students participate actively, their participation is integral to the actions of the community, and knowledge is negotiated within the community. It is important to remember that a group of learners does not necessarily constitute a “learning community.”

**comments:**

**3) In this lesson, student exploration preceded formal presentation.**

Reformed teaching allows students to build complex abstract knowledge from simpler, more concrete experience. This suggests that any formal presentation of content should be preceded by student exploration. This does not imply the converse...that all exploration should be followed by a formal presentation

**comments:**

**4) This lesson encouraged students to seek and value alternative modes of investigation or of problem solving.**

Divergent thinking is an important part of mathematical and scientific reasoning. A lesson that meets this criterion would not insist on only one method of experimentation or one approach to solving a problem. A teacher who valued alternative modes of thinking would respect and actively solicit a variety of approaches, and understand that there may be more than one answer to a question.

**comments:**

**5) The focus and direction of the lesson was often determined by ideas originating with students.**

If students are members of a true learning community, and if divergence of thinking is valued, then the direction that a lesson takes can not always be predicted in advance. Thus, planning and executing a lesson may include contingencies for building upon the unexpected. A lesson that met this criterion might not end up where it appeared to be heading at the beginning.

**comments:**

#### IV. CONTENT

Knowledge can be thought of as having two forms: knowledge of what is (Propositional Knowledge), and knowledge of how to (Procedural Knowledge). Both are types of content. The RTOP was designed to evaluate mathematics or science lessons in terms of both.

#### **Propositional Knowledge**

This section focuses on the level of significance and abstraction of the content, the teacher's understanding of it, and the connections made with other disciplines and with real life.

**6) The lesson involved fundamental concepts of the subject.**

The emphasis on "fundamental" concepts indicates that there were some significant scientific or mathematical ideas at the heart of the lesson. For example, a lesson on the multiplication algorithm can be anchored in the distributive property. A lesson on energy could focus on the distinction between heat and temperature.

**comments:**

**7) The lesson promoted strongly coherent conceptual understanding.**

The word “coherent” is used to emphasize the strong inter-relatedness of mathematical and/or scientific thinking. Concepts do not stand on their own two feet. They are increasingly more meaningful as they become integrally related to and constitutive of other concepts.

**comments:**

**8) The teacher had a solid grasp of the subject matter content inherent in the lesson.**

This indicates that a teacher could sense the potential significance of ideas as they occurred in the lesson, even when articulated vaguely by students. A solid grasp would be indicated by an eagerness to pursue student’s thoughts even if seemingly unrelated at the moment. The grade-level at which the lesson was directed should be taken into consideration when evaluating this item.

**comments:**

**9) Elements of abstraction (i.e., symbolic representations, theory building) were encouraged when it was important to do so.**

Conceptual understanding can be facilitated when relationships or patterns are represented in abstract or symbolic ways. Not moving toward abstraction can leave students overwhelmed with trees when a forest might help them locate themselves.

**comments:**

**10) Connections with other content disciplines and/or real world phenomena were explored and valued.**

Connecting mathematical and scientific content across the disciplines and with real world applications tends to generalize it and make it more coherent. A physics lesson on electricity might connect with the role of electricity in biological systems, or with the wiring systems of a house. A mathematics lesson on proportionality might connect with the nature of light, and refer to the relationship between the height of an object and the length of its shadow.

**comments:**

## Procedural Knowledge

This section focuses on the kinds of processes that students are asked to use to manipulate information, arrive at conclusions, and evaluate knowledge claims. It most closely resembles what is often referred to as mathematical thinking or scientific reasoning.

### **11) Students used a variety of means (models, drawings, graphs, symbols, concrete materials, manipulatives, etc.) to represent phenomena.**

Multiple forms of representation allow students to use a variety of mental processes to articulate their ideas, analyze information and to critique their ideas. A “variety” implies that at least two different means were used. Variety also occurs within a given means. For example, several different kinds of graphs could be used, not just one kind.

**comments:**

### **12) Students made predictions, estimations and/or hypotheses and devised means for testing them.**

This item does not distinguish among predictions, hypotheses and estimations. All three terms are used so that the RTOP can be descriptive of both mathematical thinking and scientific reasoning. Another word that might be used in this context is “conjectures”. The idea is that students explicitly state what they think is going to happen before collecting data.

**comments:**

### **13) Students were actively engaged in thought-provoking activity that often involved the critical assessment of procedures.**

This item implies that students were not only actively doing things, but that they were also actively thinking about how what they were doing could clarify the next steps in their investigation.

**comments:**

#### **14) Students were reflective about their learning.**

Active reflection is a meta-cognitive activity that facilitates learning. It is sometimes referred to as “thinking about thinking.” Teachers can facilitate reflection by providing time and suggesting strategies for students to evaluate their thoughts throughout a lesson. A review conducted by the teacher may not be reflective if it does not induce students to *re-examine* or *re-assess* their thinking.

**comments:**

#### **15) Intellectual rigor, constructive criticism, and the challenging of ideas were valued.**

At the heart of mathematical and scientific endeavors is rigorous debate. In a lesson, this would be achieved by allowing a variety of ideas to be presented, but insisting that challenge and negotiation also occur. Achieving intellectual rigor by following a narrow, often prescribed path of reasoning, to the exclusion of alternatives, would result in a low score on this item. Accepting a variety of proposals without accompanying evidence and argument would also result in a low score.

**comments:**

### **V. CLASSROOM CULTURE**

This section addresses a separate aspect of a lesson, and completing these items should be done independently of any judgments on preceding sections. Specifically the design of the lesson or the quality of the content should not influence ratings in this section. Classroom culture has been conceptualized in the RTOP as consisting of: (1) Communicative Interactions, and (2) Student/Teacher Relationships. These are not mutually exclusive categories because all communicative interactions presuppose some kind of relationship among communicants.

#### ***Communicative Interactions***

Communicative interactions in a classroom are an important window into the culture of that classroom. Lessons where teachers characteristically speak and students listen are not reformed. It is important that students be heard, and often, and that they communicate with one another, as well as with the teacher. The nature of the communication captures the dynamics of knowledge construction in that community. Recall that communication and community have the same root.

**16) Students were involved in the communication of their ideas to others using a variety of means and media.**

The intent of this item is to reflect the communicative richness of a lesson that encouraged students to contribute to the discourse and to do so in more than a single mode (making presentations, brainstorming, critiquing, listening, making videos, group work, etc.). Notice the difference between this item and item 11. Item 11 refers to representations. This item refers to active communication.

**comments:**

**17) The teacher's questions triggered divergent modes of thinking.**

This item suggests that teacher questions should help to open up conceptual space rather than confining it within predetermined boundaries. In its simplest form, teacher questioning triggers divergent modes of thinking by framing problems for which there may be more than one correct answer or framing phenomena that can have more than one valid interpretation.

**comments:**

**18) There was a high proportion of student talk and a significant amount of it occurred between and among students.**

A lesson where a teacher does most of the talking is not reformed. This item reflects the need to increase both the amount of student talk and of talk among students. A "high proportion" means that at any point in time it was as likely that a student would be talking as that the teacher would be. A "significant amount" suggests that critical portions of the lesson were developed through discourse among students.

**comments:**

**19) Student questions and comments often determined the focus and direction of classroom discourse.**

This item implies not only that the flow of the lesson was often influenced or shaped by student contributions, but that once a direction was in place, students were crucial in sustaining and enhancing the momentum.

**comments:**

**20) There was a climate of respect for what others had to say.**

Respecting what others have to say is more than listening politely. Respect also indicates that what others had to say was actually heard and carefully considered. A reformed lesson would encourage and allow every member of the community to present their ideas and express their opinions without fear of censure or ridicule.

**comments:**

### **Student/Teacher Relationships**

**21) Active participation of students was encouraged and valued.**

This implies more than just a classroom full of active students. It also connotes their having a voice in how that activity is to occur. Simply following directions in an active manner does not meet the intent of this item. Active participation implies agenda-setting as well as “minds-on” and “hands-on”.

**comments:**

**22) Students were encouraged to generate conjectures, alternative solution strategies, and/or different ways of interpreting evidence.**

Reformed teaching shifts the balance of responsibility for mathematical or scientific thought from the teacher to the students. A reformed teacher actively encourages this transition. For example, in a mathematics lesson, the teacher might encourage students to find more than one way to solve a problem. This encouragement would be highly rated if the whole lesson was devoted to discussing and critiquing these alternate solution strategies.

**comments:**

**23) In general the teacher was patient with students.**

Patience is not the same thing as tolerating unexpected or unwanted student behavior. Rather there is an anticipation that, when given a chance to play itself out, unanticipated behavior can lead to rich learning opportunities. A long “wait time” is a necessary but not sufficient condition for rating highly on this item.

**comments:**

**24) The teacher acted as a resource person, working to support and enhance student investigations.**

A reformed teacher is not there to tell students what to do and how to do it. Much of the initiative is to come from students, and because students have different ideas, the teacher’s support is carefully crafted to the idiosyncrasies of student thinking. The metaphor, “guide on the side” is in accord with this item.

**comments:**

**25) The metaphor “teacher as listener” was very characteristic of this classroom.**

This metaphor describes a teacher who is often found helping students use what they know to construct further understanding. The teacher may indeed talk a lot, but such talk is carefully crafted around understandings reached by actively listening to what students are saying. “Teacher as listener” would be fully in place if “student as listener” was reciprocally engendered.

**comments:**

## VI. SUMMARY

The RTOP provides an operational definition of what is meant by “reformed teaching.” The items arise from a rich research-based literature that describes inquiry-oriented standards-based teaching practices in mathematics and science. However, this training guide does not cite research evidence. Rather it describes each item in a more metaphoric way. Our experience has been that these items have richly intuitive meaning to mathematics and science educators .

Further information about the underlying conceptual and theoretical basis of the RTOP, as well as reliability and validity data and norms by grade-level and context, can be found in the *Reformed Teaching Observation Protocol MANUAL* (Sawada & Piburn, 2000).